

## SIP / MICRO SIP AUTO DEBIT (ECS) FORM

(Please ) Mandatory</th <th>DIRECT</th> <th></th>	DIRECT	
	BROKER/DISTRIBUTOR	Sub Broker Name & Code
	ARN - Kindly affix your ARN stamp	Kindly affix your Sub Broker ARN stamp
	DISTRIBUTOR EMPLOYEE CODE	AMFI Identity Number

"Upfront, commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor."

Transaction charges will be applicable to the investors for purchase transaction through Distributor/ Agent. Please refer to the detailed terms and conditions w.r.t. transaction charges given in KIM.

TRANSACTION CHARGES (please tick as applicable. Refer to KIM)	Sr. No.		
I AM A FIRST TIME INVESTOR IN MUTUAL FUNDS (₹ 150/- will be deducted as transaction charge on Subscription of ₹10,000/- and more)	I AM AN EXISTING INVESTOR IN MUTUAL FUNDS  (₹ 100/- will be deducted as transaction charge per Subscription of ₹10,000/- and more)		
KYC is mandatory for ALL investments irrespective of the amount.			
I. For Existing Unitholders			
First Unitholder	Existing Folio No.		
Sole / First Applicant / Guardian Second A	pplicant Third Applicant		
PAN No.* PAN No.*	PAN No.*		
	nt (Mandatory - Copy of Wedgement Letter)   KYC Compliant (Mandatory - Copy of KYC Acknowledgement Letter)		
Photo Identification**  Photo Identification**	Photo Identification**		
* Mandatory - Enclose self/broker attested PAN Card Copy. ** Photo Identification Co	py for Micro SIP only - please refer to instruction.		
2. For New Investor - Applicant's Details (Mandatory)			
Sole / First Applicant         F         I         R         S         T         I         I	1 I D D L E L A S T N A M E		
3. Systematic Investment Plan (SIP) Details (Mandato	ry) OR Micro SIP Details (Mandatory)		
Scheme Name			
	Didded December Didded Discourse		
CID D . (D)	Dividend Payout Dividend Reinvestment		
Sit frequency (ficase )	OR 7th* OR 14th OR 21st OR All four dates		
Installment Amount (₹)    ₹			
First SIP Cheque No. Dated D D	/ M M / Y Y Y		
Drawn on (Bank / Branch Name)			
SIP Auto Debit Period Start Date From M M / Y Y Y End Date(1) To M M / Y Y Y Y			
(The first Auto Debit should be at least 30 days (Second Installment)	(Last Installment)		
after the first SIP transaction date)	(1) If no End Date is specified SIP will continue for 50 years.		
* Default Option will be applied in case of no information, ambiguity or discrepancy.  4. Payment Bank Details			
Source of Payment (from which the above investment is made)			
	ith name pre-printed Bank Statement Pass Book Bank Certificate		
	OR		
Third Party's Bank Account			
Relationship with the Holder: Parents/Grand-Parents/related persons Employer on behalf of employee Custodian on behalf of an FII or a client			
Mandatory documents required: KYC Acknowledgment Letter Third Party Declaration Form			
Document attached (Any one) Cancelled cheque leaf with Name pre-printed B  5. Particulars of Bank Account (From which money will be of			
Account holder name			
as in Bank Account			
Bank name			
Account No.	Branch Address		
City MICR Code M	A N D A T O R Y (This is a 9 Digit Number next to your Cheque Number)		
For NRI / FII Investors, please indicate account type of your remittance (please $\checkmark$ ) $\square$ N Enclosed (please $\checkmark$ ) $\square$ Account Debit $\square$ Foreign Inward Remittance Certificate			
at all for reasons of incomplete or incorrect information, I/We would not hold PineBridge Mutual Fund, the their appointed service providers or representatives responsible. I/We will also inform PineBridge Investm holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), as	payments referred above through direct debit / participation in ECS. If the transaction is delayed or not effected pair investment Manager - PineBridge Investments Asset Management Company (India) Private Limited or any of ents Asset Management Company (India) Private Limited about any changes in mylour bank account. The ARN syable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is charges as applicable for purchase transaction. I/We declare that I/We do not have any existing Micro SIPs which We have read and agreed to the terms and conditions mentioned overleaf.		
being recommended to me/us. I/We have read and understood the Terms and Conditions w.r.t. transaction together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year. I/	charges as applicable for purchase transaction. I/We declare that I/We do not have any existing Micro SIPs which We have read and agreed to the terms and conditions mentioned overleaf.		
SIGNATURE(S) (ALL ACCOUNT HOLDERS MUST SIGN HERE)			
First Account Holder's Signature (As in Bank Records)  Second Account Holder's Signature			
	FOR OFFICE USE ONLY (Not to be filled in by investor)		
Recorded on	D   /   M   M   /   Y   Y   Y   Recorded   by		
D D / M M / Y Y Y Y Credit			
Dated  A/c. No.	A A country labor		
<b>6.</b> Authorisation of Bank Accountholder(s) (to be signed by the This is to inform that I/We have registered for RBI's Electronic Clearing Service (Debit Clearing) and that	my/our payment towards investment in PineBridge Mutual Fund shall be made from my/our below-mentioned		
bank account number with your bank. I/We hereby authorise PineBridge Investments Asset Management Company (India) Private Limited - Investment Manager to PineBridge Mutual Fund, acting through their authorised service providers and representatives carrying this ECS Mandate Form to get it verified and executed. I/We hereby further authorise PineBridge Investments Asset Management Company (India) Private Limited (Investment			
Manager to PineBridge Mutual Fund), acting through their authorised service providers to debit my/our following bank account by ECS (Debit Clearing) for collection of SIP payments:			
NAME(S) & SIGNATURE(S) OF BANK ACCOUNT HOLDER(S) AS IN BANK RECORDS			
Account Number			
Name of First Account Holder (As in Bank Records)  Name of Second Account Holder (As in Bank Records)	Holder (As in Bank Records)  Name of Third Account Holder (As in Bank Records)		
First Account Helder's Company (A : D   D   L)	import (Aria Dayle Decembra)		
First Account Holder's Signature (As in Bank Records)  Second Account Holder's S	ignature (As in Bank Records)  Third Account Holder's Signature (As in Bank Records)		

## TERMS AND CONDITIONS FOR SIP / MICRO SIP WITH AUTO DEBIT (ECS) FACILITY

SIP payment through Electronic Clearing Service (Debit Clearing) of the Reserve Bank of India (RBI)

## List of Cities for SIP Auto Debit Facility via ECS (Debit Clearing)

Agra, Ahmedabad, Allahabad, Amritsar, Anand, Asansol, Aurangabad, Bangalore, Baroda, Belgaum, Bhavnagar, Bhilwara, Bhopal, Bhubaneshwar, Bijapur, Bikaner, Burdwan, Calicut, Chandigarh, Chennai, Cochin, Coimbatore, Cuttack, Davangeree, Dehradun, Delhi, Dhanbad, Durgapur, Erode, Gadag, Gangtok, Gorakhpur, Gulbarga, Guwahati, Gwalior, Haldia, Hassan, Hubli, Hyderabad, Indore, Jabalpur, Jaipur, Jalandhar, Jammu, Jamnagar, Jamshedpur, Jodhpur, Kakinada, Kanpur, Kolhapur, Kolkata, Kota, Lucknow, Ludhiana, Madurai, Mandya, Mangalore, Mumbai, Mysore, Nagpur, Nasik, Nellore, Panjim, Patna, Pondicherry, Pune, Raichur, Raipur, Rajkot, Ranchi, Salem, Shimla, Shimoga, Siliguri, Solapur, Surat, Tirunelveli, Tirupati, Tirupur, Trichur, Trichy, Trivendrum, Tumkur, Udaipur, Udupi, Varanasi, Vijayawada (also covers Guntur, Tenali & Mangalgiri), Vizag

- 1. SIP Auto Debit (ECS) is offered to investors having bank accounts in cities mentioned above.
- 2. The cities in the above list may be modified/updated/changed/removed at any time in future entirely at the discretion of PineBridge Investments Asset Management Company (India) Private Limited without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued and communication to that effect will be sent to the investor.
- 3. Investors to select the option of SIP or Micro SIP as applicable.
- 4. As a safety measure against fraudulent instructions, investors are advised to countersign any cancellation/corrections. Investors are advised to update the AMFI Identity Number of the Distributor's representative interacting with him/her.
- 5. KYC is mandatory for SIP applications.
- 6. Existing Investors are required to submit only the SIP / Micro SIP Auto Debit (ECS) Form indicating the existing Folio Number and investment details in the SIP section.
- 7. SIP Facility is available on specified dates of the month: viz.  $1^{st} / 7^{th} / 14^{th} / 21^{st} / All$  four dates
- 8. During ongoing purchase your first SIP can be for any day of the month. Your second and subsequent SIPs installments are available only on the above specified dates of the month with a minimum gap of atleast 30 days between first and second SIP installment. In case the chosen date turns out to be a non-business day for the scheme; the SIP will be processed on the immediately following business day.
- 9. The SIP should be for a minimum of 6 months or quarters. In case "All four dates" are selected, SIP will be registered for a minimum of six months for each date.
- 10. If no end date is specified, SIP will be considered for 50 years.
- 11. If the SIP preferences are not indicated or incase of discrepancy or ambiguity, the default option shall be as under:
  - SIP Frequency Monthly, SIP date every 7th of the month.
- 12. The SIP cheque should be drawn on the same bank account which is to be registered for ECS (debit). The bank account provided for ECS (debit) should participate in local MICR clearing.
- 13. Please write the Cheque in favor of the specific scheme followed by name of the first holder or his PAN No. or existing Folio No. (For example: "Scheme Name First Holder's Name" or "Scheme Name First Holder's Folio No."). The investors should cross their cheques as "Account Payee Only".
- 14. If three consecutive SIP's fail, the SIP will automatically stand terminated and a communication to that effect will be sent to the investor.
- 15. Any request for a Modification/Cancellation of SIP shall be processed within 15 days on receipt of written request. All such request, should be accompanied by:
  - a. A new SIP form duly filled in and carrying the revised/new SIP request details, or
  - b. A written and signed confirmation for discontinuing the existing SIP.
- 16. If you are a new investor, investing in a Scheme / Plan using the Systematic Investment Plan, please fill in all the sections in the Common Application Form. The investment details must be indicated in the SIP Auto Debit (ECS) Form.
- 17. Please furnish a self-attested/attested by broker copy of the PAN Card along with the Application Form/Transaction Form.
- 18. Incase of Micro SIP (SIPs upto ₹ 50,000/- per year per investor i.e. aggregate of installments in a rolling 12 month period or in a financial year shall be referred as 'Micro SIP') please provide photo identification documents for all holders as listed below:
  - (a) Voter Identity Card, (b) Driving License, (c) Government / Defense identification card, (d) Passport, (e) Photo Ration Card, (f) Photo Debit Card, (g) Employee ID cards issued by companies registered with Registrar of Companies, (h) Photo Identification issued by Bank Managers of Scheduled Commercial Banks /Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament, (i) ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks, (j) Senior Citizen / Freedom Fighter ID card issued by Government, (k) Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI, (I) Permanent Retirement Account No. (PRAN) card issued to New Pension System (NPS) subscribers by Central Recordkeeping Agency (NSDL), (m) Any other photo ID card issued by Central Government / State Governments / Municipal authorities / Government organizations like ESIC / EPFO
  - The Photo Identification document has to be current and valid and also either self attested or attested by an ARN holder.
  - The Photo Identification documents are in lieu of PAN card copy. However, investor may choose to provide self-attested copy of PAN as a proof.
  - Please note that all the subsequent SIP Installments may get rejected if the Investor does not meet the above requirements.
- 19. Investors will not hold PineBridge Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS/ local holidays/incomplete or incorrect instructions from the applicant.
- 20. PineBridge Mutual Fund reserves the right not to represent any mandate for SIP auto debit facility if the registration could not be affected in time for reasons beyond control.
- 21. PineBridge Mutual Fund, its registrars and other service providers shall not be responsible and liable for any transaction failures, due to rejection of the transaction by your bank/branch or its refusal to register the SIP mandate.
- 22. Please refer the Key Information Memorandum and the Scheme Information Document for Applicable NAV, Redemptions, Risk Factors, Load and other information on the respective Scheme before investing.