

Application No.:

| Distributor ARN and Name | Sub Broker Name & ARN | Branch/RM Internal Code | EUIN (Refer note below) | For Office use only |
|--------------------------|-----------------------|-------------------------|-------------------------|---------------------|
| ARN- | | | | |

I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I am a First Time Investor in Mutual Fund Industry. I am an Existing Investor in Mutual Fund Industry.

Sole / First Applicant's Signature Mandatory

1. FIRST APPLICANT'S DETAILS

| | | | |
|---|--------------------------------------|--|---|
| Name of First Applicant (Should match with PAN Card) | | PAN (1st Applicant / Guardian) | <input type="checkbox"/> KYC |
| Existing Folio Number | Name of Guardian if minor | PoA PAN | <input type="checkbox"/> KYC |
| On behalf of Minor (* Attach Mandatory Documents as per instructions), Minor's | Date of Birth D D / M M / Y Y Y Y | Date of Birth Proof attached * <input type="checkbox"/> | Guardian named is : <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Court Appointed |

2. CONTACT DETAILS AND CORRESPONDENCE ADDRESS (As per KYC records)

| | | | |
|--------------------------|-------------------------|----------------|--|
| Email ID (in capital) | | Tel (STD Code) | Address Type (Mandatory) <input type="checkbox"/> a. Residential & Business <input type="checkbox"/> b. Residential <input type="checkbox"/> c. Business <input type="checkbox"/> d. Registered Office |
| Mobile +91 | | | |
| Address | | | |
| Landmark | | | |
| City | Pin Code (Mandatory) | State | |

3. KYC DETAILS (Mandatory)

3a. Status of Sole/1st Applicant (Please tick ✓) Indian Resident Individual Minor (Resident) Minor NRI NRI (Repatriable) NRI (Non-Repatriable) PIO
 Sole Proprietorship HUF - Indian HUF - NRI Partnership Firm Limited Partnership (LLP) Public Ltd. Co. Private Ltd. Co. Body Corporate Bank
 FIs Insurance Companies Government Body AOP/BOI Trust Society Provident Fund Superannuation / Pension Fund Gratuity Fund Mutual Fund
 FII FPI-Category I/II/III FCRA GDN Defence Establishment NPS Trust Others _____ (Please specify)

Are you a Non-Profit Organization [NPO] or Company u/s 25 (Companies Act 1956) or u/s 8 of Companies, Act, 2013: Yes No

3b. Occupation Details (Please tick ✓) Private Sector Service Public Sector Service Government Service Business
 Professional Agriculturist Retired Housewife Student Forex Dealer Others _____ (Please specify)

3c. Gross Annual Income (Please tick ✓) Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs-1 crore >1 crore
Net-worth in (Mandatory for Non-Individuals) ₹ _____ as on D D / M M / Y Y Y Y (Not older than 1 year)

3d. For Individuals / HUFs I am Politically Exposed Person I am Related to Politically Exposed Person Not Applicable

For Non-Individual Investors (Companies, Trust, Partnership etc)
 I. Foreign Exchange / Money Changer Services YES NO
 II. Gaming / Gambling / Lottery/Casino Services YES NO
 III. Money Lending / Pawning YES NO

4. JOINT APPLICANTS (IF ANY) DETAILS

Mode of Holding (Please tick ✓) Joint (Default) Anyone or Survivor

| | | |
|---|---------------------|------------------------------|
| 2nd Applicant Name (Should match with PAN Card) | PAN (2nd Applicant) | <input type="checkbox"/> KYC |
| a. Occupation Details (Please tick ✓) <input type="radio"/> Private Sector Service <input type="radio"/> Public Sector Service <input type="radio"/> Government Service <input type="radio"/> Business <input type="radio"/> Professional <input type="radio"/> Agriculturist <input type="radio"/> Retired <input type="radio"/> Housewife <input type="radio"/> Student <input type="radio"/> Forex Dealer <input type="radio"/> Others _____ (Please specify) | | |
| b. Gross Annual Income <input type="radio"/> Below 1 Lac <input type="radio"/> 1-5 Lacs <input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs <input type="radio"/> >25 Lacs-1 crore <input type="radio"/> >1 crore OR Net worth ₹ _____ | | |
| c. Others (Please tick ✓) <input type="radio"/> Politically Exposed Person (PEP) <input type="radio"/> Related to a Politically Exposed Person (PEP) <input type="radio"/> Not Applicable | | |
| 3rd Applicant Name (Should match with PAN Card) | PAN (3rd Applicant) | <input type="checkbox"/> KYC |
| a. Occupation Details (Please tick ✓) <input type="radio"/> Private Sector Service <input type="radio"/> Public Sector Service <input type="radio"/> Government Service <input type="radio"/> Business <input type="radio"/> Professional <input type="radio"/> Agriculturist <input type="radio"/> Retired <input type="radio"/> Housewife <input type="radio"/> Student <input type="radio"/> Forex Dealer <input type="radio"/> Others _____ (Please specify) | | |
| b. Gross Annual Income <input type="radio"/> Below 1 Lac <input type="radio"/> 1-5 Lacs <input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs <input type="radio"/> >25 Lacs-1 crore <input type="radio"/> >1 crore OR Net worth ₹ _____ | | |
| c. Others (Please tick ✓) <input type="radio"/> Politically Exposed Person (PEP) <input type="radio"/> Related to a Politically Exposed Person (PEP) <input type="radio"/> Not Applicable | | |

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

DSP BLACKROCK MUTUAL FUND

Received, subject to realisation and verification an application for purchase of Units as mentioned in the application form.
From _____

| Scheme | Cheque no. | Amount |
|--------|------------|--------|
| DSPBR | | |

Application No.

5. FATCA and CRS DETAILS For Individuals/HUF (Mandatory) Non Individual investors should mandatorily fill separate FATCA/CRS details form

| Sole/First Applicant/Guardian | | | 2nd Applicant | | | <input type="checkbox"/> 3rd Applicant | | | <input type="checkbox"/> POA | | |
|-------------------------------|-------|---------|--------------------------|-------|---------|--|-------|---------|------------------------------|-------|---------|
| Place & Country of Birth | PLACE | COUNTRY | Place & Country of Birth | PLACE | COUNTRY | Place & Country of Birth | PLACE | COUNTRY | Place & Country of Birth | PLACE | COUNTRY |

Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and its Identification type eg. TIN etc.

| Country # | Tax Identification Number | Identification Type | Country # | Tax Identification Number | Identification Type | Country # | Tax Identification Number | Identification Type |
|-----------|---------------------------|---------------------|-----------|---------------------------|---------------------|-----------|---------------------------|---------------------|
| 1 | | | 1 | | | 1 | | |
| 2 | | | 2 | | | 2 | | |
| 3 | | | 3 | | | 3 | | |

6. BANK ACCOUNT DETAILS (Avail Multiple Bank Registration Facility)

Bank Name

Bank A/C No. A/C Type Savings Current NRE NRO FCNR Others

Branch Address

City Pin

IFSC code: (11 digit) MICR code (9 digit) (This is a 9 digit number next to your cheque number)

7. INVESTMENT AND PAYMENT DETAILS (Cheque/DD should be in favour of "Scheme Name")

Scheme/Plan /Option/Sub Option **DSP BlackRock -** Scheme Plan Option/Sub Option

(Default plan/option/sub option will be applied incase of no information, ambiguity or discrepancy)

One time Lumpsum Investment SIP: Systematic Investment Plan. Attach OTM form, if not already registered. Mention First SIP Cheque Details below

Payment Mode: Cheque DD RTGS NEFT Funds transfer

Cheque/DD/RTGS/NEFT No.

Amount (Rs.) (i)

DD charges, (Rs.)(ii)

Total Amount (Rs.) (i) + (ii) In figures

In Words

Cheque/RTGS/NEFT/DD Date

Payment from Bank A/c No.

Bank Name

Branch

Account Type Savings Current NRE NRO FCNR

Documents Attached to avoid Third Party Payment Rejection, where applicable: Bank Certificate, for DD Third Party Declarations

8. NOMINATION DETAILS

Individuals (single or joint applicants) are advised to avail Nomination facility.

I/We wish to nominate. I/We DO NOT wish to nominate and sign here 1st Applicant Signature (Mandatory)

| | Nominee Name | Guardian Name (In case of Minor) | Allocation % | Nominee/ Guardian Signature |
|-----------|----------------------|----------------------------------|----------------------|-----------------------------|
| Nominee 1 | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Nominee 2 | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Nominee 3 | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Address | <input type="text"/> | | Total = 100% | <input type="text"/> |

9. UNIT HOLDING OPTION:

In Account Statement Mode (default): In Demat mode: NSDL: I N

Depository Participant (DP) ID (NSDL only)

Beneficiary Account Number (NSDL only)

CDSL:

Enclose for demat option:
 Client Master List
 Transaction/Holding Statement
 DIS Copy

10. DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by DSP BlackRock Mutual Fund from time to time, I / We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme/Plan/Option and agree to abide by the terms and conditions, rules and regulations. I / We have understood the information requirements of the application form, including FATCA and CRS requirements, terms and conditions (read along with instructions and scheme related documents) and hereby accept the same and further confirm that the information provided by me/us on this form is true, correct, and complete. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority.

Sole / First Applicant / Guardian

Second Applicant

Third Applicant

POA holder, if any

Email: service@dspblackrock.com Website: www.dspblackrock.com Contact Centre: 1800 200 4499

- Quick Checklist
- Name, Address are correctly mentioned
 - Full scheme name, plan, option is mentioned
 - Additional documents provided if investor name is not pre-printed on payment cheque or if Demand Draft is used.
 - Email ID / Mobile number are mentioned
 - Pay-In bank details and supportings are attached
 - Non Individual investors should attach
 - KYC information provided for each applicant
 - Nomination facility opted
 - FATCA Details and Declaration Form
 - FATCA/CRS details provided for each applicant
 - Form is signed by all applicants
 - UBO Declaration Form